

致：越秀證券有限公司

敬啟者：

《證券及期貨(客戶款項)規則》（香港法例第 571I 章）下的常設授權

本授權書涵蓋了由越秀證券有限公司（「**越秀證券**」）代表本人／我們在香港於一個或多個獨立帳戶中持有或收取的款項（包括由持有非屬於越秀證券的款項而衍生之任何權益）（「**款項**」）。除非另有說明，否則在本授權書使用的所有字詞的含義如不時修訂的《證券及期貨條例》（香港法例第 571 章）及《證券及期貨(客戶款項)規則》（香港法例第 571I 章）所定義。獨立帳戶包括根據《證券及期貨(客戶款項)規則》指定為客戶帳戶而在香港開立和維持的任何帳戶，或被指定為客戶帳戶而在香港以外開立和維持的任何帳戶。

本人／我們在此授權越秀證券全權酌情通過以下方式處理任何款項，而毋須向本人／我們提供任何事先通知或事先取得指示／確認。

- (a) 單獨或聯合其他人合併和整合由越秀證券及／或越秀期貨有限公司（「**越秀集團**」）不時維持的任何性質的任何或所有獨立帳戶，並把任何款項轉移到該（等）獨立帳戶或從該（等）獨立帳戶轉移，以履行本人／我們對越秀集團的義務或責任，無論該等義務或責任是實際的還是或然的、是主要還是附屬性質、有擔保還是無擔保、共同還是各別；及
- (b) 在由越秀集團於任何時間在香港或香港以外維持的任何獨立帳戶之間交替轉移任何款項。

本人／我們明白上述授權並不損害越秀證券就買賣該（等）獨立帳戶中的款項中可能擁有的其他授權或權利。

在本授權書中給予越秀證券的權力，須在本授權書日期起十二（12）個月內有效，除非本人／我們給予書面通知撤銷本授權書。該通知須於越秀證券實際收到後十四（14）天後生效。

本人／我們明白此授權可：

- (a) 在屆滿之日之前由本人／我們提出書面同意將之續期；或
- (b) 如果越秀證券在此授權有效期滿前十四（14）天，向本人／我們發出書面通知，指出除非本人／我們反對，否則該授權將按此授權所指明的條款及條件續期十二（12）個月，則此授權將自動續期。

本人／我們獲提供本授權書之中英文版本，英文版本將被視為最終並具決定性，中文翻譯僅供參考。本人／我們完全理解本授權書的內容。本人／我們承認，越秀證券已向本人／我們解釋提供本授權書的風險，而本人／我們可按本人／我們的意願發問任何問題並諮詢獨立意見。為免生疑問，本人／我們明白證券及／或證券抵押品可能受到留置權或第三方的合法申索所限，而將任何我們明白證券及／或證券抵押品歸還給本人／我們可能須符合留置權。雖然越秀證券繼續為歸還在本授權書下借出或存入的任何證券及／或證券抵押品向本人／我們承擔責任，越秀證券違約可能導致證券及證券抵押品的損失。

客戶名稱：_____ 帳戶號碼：_____

客戶簽署

日期

To: **Yue Xiu Securities Company Limited**

Dear Sirs,

Standing Authority under the Securities and Futures (Client Money) Rules (Cap. 571I of the Laws of Hong Kong)

This letter of authorisation covers money held or received by Yue Xiu Securities Company Limited (“**Yue Xiu Securities**”) in Hong Kong (including any interest derived from the holding of the money which does not belong to Yue Xiu Securities) in one or more segregated account(s) on my/our behalf (“**Monies**”). Unless otherwise defined, all the terms used in this authorisation shall have the same meanings as defined in the Securities and Futures Ordinance (Cap. 571 of the Laws of Hong Kong) and the Securities and Futures (Client Money) Rules (Cap. 571I of the Laws of Hong Kong) as amended from time to time. Segregated account(s) include any account(s) designated as client account(s) established and maintained in Hong Kong in accordance with the Client Money Rules or account(s) designated as client account(s) established and maintained outside Hong Kong.

I/we hereby authorise Yue Xiu Securities to handle any sum of Monies in the following manner at Yue Xiu Securities sole discretion without having to provide me/us with any prior notice or to obtain prior instructions/confirmations from me/us to:

- (a) combine and consolidate any or all segregated accounts, of any nature whatsoever and either individually or jointly with others, maintained by Yue Xiu Securities and/or Yue Xiu Futures Company Limited (together, the “**Yue Xiu Group**”) from time to time, and transfer any sum of Monies to and between such segregated account(s) to satisfy my/our obligations or liabilities to Yue Xiu Group, whether such obligations or liabilities are actual or contingent, primary or collateral, secured or unsecured, or joint or several; and
- (b) transfer any sum of Monies interchangeably between any of the segregated accounts maintained at any time by Yue Xiu Group in or outside Hong Kong.

I/we understand that the above authorisation is given without prejudice to other authority or rights which Yue Xiu Securities may have in relation to dealing in Monies in the segregated account(s).

The authority given to Yue Xiu Securities herein shall be valid for twelve (12) months from the date of this letter unless revoked by written notice given by me/us. Such notice shall take effect fourteen (14) days after Yue Xiu Securities actual receipt.

I/we understand that this authority may be:

- (a) renewed by written consent made by me/us before the date of expiry; or
- (b) deemed to be renewed automatically if Yue Xiu Securities issue me/us a written notice upon fourteen (14) days prior to the expiry of this authority informing me/us that unless I/we object, the authority will be renewed upon the same terms and conditions as specified in the this authority for a further period of twelve (12) months.

This letter of authorisation is provided to me/us in Chinese and English and the contents of this authority of the English version, as opposed to the Chinese translation, shall be deemed to be conclusive and definitive. I/we fully understand the contents of this authority. I/we acknowledge that Yue Xiu Securities have explained to me/us the risk of providing this authorisation and if I/we wish, I/we may ask questions and take independent advice. For avoidance of doubt, I/we understand that the securities and/or securities collateral may be subject to liens or lawful claims of third parties and return of such securities and/or securities collateral to me/us may be subject to satisfaction of such liens. Although Yue Xiu Securities remain responsible to me/us for the return of any of the securities of securities collateral lent or deposited under this authorisation, a default by Yue Xiu Securities may lead to loss of the securities and securities collateral.

Client's Name: _____ Account Number: _____

Client's Signature

Date