

SI (B/S Note)

致：YUE XIU SECURITIES COMPANY LIMITED 越秀証券有限公司

Room 17-37, 49/F, Sun Hung Kai Centre, 30 Harbour Road, Wanchai, Hong Kong

香港灣仔港灣道 30 號新鴻基中心 49 樓 17-37 室

Tel 電話：(852) 3925 9928

Fax 傳真：(852) 3583 1689

Email 電郵：info@yxsh.hk

證券交收指示 Settlement Instruction (Bought/Sold Note)

帳戶名稱

Account Name: _____

帳戶號碼

Account Number: _____

參與者名稱

Participant Name: _____

參與者編號

Participant I.D.: _____

參與者之聯絡人

Contact Person of Participant: _____

聯絡人電話

Telephone No. : _____

交收日期 Settlement Date: _____

請 ☐ 從所述參與者收取下列股票至帳戶 **Receive** the following securities from the Participant for the Account.
Please ☐ 將下列股票由帳戶轉往所述參與者 **Deliver** the following securities from the Account to the Participant.

| 股份代號 Stock Code | 股票名稱 Name of Stock | 股份數量 No. of Share(s) | FOP-毋須付款 DVP-貨銀對付 | 貨銀對付金額 DVP Amount |
|--------------------|-----------------------|-------------------------|----------------------|----------------------|
| | | | | |
| | | | | |

I / We confirm that I / we have entered an off-exchange transaction that I/We have**bought / sold the above mentioned shares from the Transferor, _____ / to _____ the Transferee, as stated in the stamped Bought & Sold Note dated _____, a copy of which is enclosed for your reference. I/We further confirm that the said transaction was concluded between the Transferee and Transferor directly, and the ultimate beneficial ownership of the said shares has been changed accordingly.

In consideration of your accepting my/our above withdrawal and delivery settlement instruction, I/we, the Transferor / the Transferee, hereby indemnify and keep you indemnified against any damages, claims and costs arising out of your acceptance of my/our above instruction.

Please debit the captioned Account for any costs or charges incurred. **刪除不適用者

Signature(s) of Account Holder(s)

Date:

Note 注意:

- By signing this instruction, the Account Holder(s) agree to pay all relevant handling fee (if any) necessary for complete the transfer. Please refer to the Securities Services Charges leaflet for the transaction charges involved, if any. 請參閱證券服務收費表。
[參考: SI 提倉手續費= 股票市值*0.05% + 每隻股票 HKD 100.00]
- Please give instruction to your broker/bank to receive/deliver the securities from/to YUE XIU SECURITIES COMPANY LIMITED.
請指示您的經紀或銀行接收/交付上述證券到越秀証券有限公司。 [參與者編號 B01551 / 聯絡資料(852)3925 9801 或 3925 9929]

Signature(s) of AE

AE Code:

| 印鑑核對 (如適用) | 合規部 | 風控部 (如適用) | 審批 | 結 算 部 | Prepared | Checked | Approved | Remark(s) |
|---------------|-----|--------------|----|-------------|----------|---------|----------|-----------|
| | | | | | | | | |

May 2022